NextGen College Investing Plan®

529 Transfer In Form

Complete this form to transfer assets from another Section 529 Plan into your NextGen Account.

- Your NextGen Account must be established before submitting this form.
- Mail this completed form to the Distributing 529 Plan as listed in Section 2.
- If necessary, complete Signature Guarantee in Section 5.

Note: IRS regulations only permit one transfer between Section 529 Plans for the same Designated Beneficiary in a 12-month period.

1. NextGen Account Information

___ ___ ___ – ___ ___ ___ ___ ___

NextGen Account No.

Participant Information: The Account Owner

Name (Last/First/M.I.) or Name of UGMA/UTMA Custodian or other entity

Permanent Street Address (No P.O. Box)

City | State | ZIP Code | Country

___ ___ ___ – ___ ___ – ___ ___ ___ ___

Social Security No. or Taxpayer Identification No.

___ ___ / ___ ___ / ___ ___ ___ ___

Date of Birth (month/day/year)

( ) ( )

Daytime Phone Number | Evening Phone Nunber

Designated Beneficiary Information*: The Student

Last Name** | First Name | M.I.

___ ___ ___ – ___ ___ – ___ ___ ___ ___

Social Security No.

___ ___ / ___ ___ / ___ ___ ___ ___

Designated Beneficiary's Date of Birth (month/day/year)

2. Distributing 529 Plan Account Information

Name of Distributing 529 Plan

Mailing Address of Distributing 529 Plan

City | State | ZIP Code | Country

( )

Phone Number

Account Number (the Distributing Plan)

Participant Name (the Account Owner)

Designated Beneficiary Name**: The Student

* *Not required for state or local governments or organizations described in Section 501(c)(3) of the Internal Revenue Code at the time the Account is opened.*

** *Please note that if the Designated Beneficiary on your NextGen Account is different than the Designated Beneficiary of the Distributing 529 Plan, the Designated Beneficiary on your NextGen Account must be an eligible family member of the Designated Beneficiary listed on the Distributing 529 Plan. Please refer to the NextGen College Investing Plan Program Description for a complete list of family members who are deemed eligible.*

3. Transfer Amount

❑ **Entire Account Balance** ❑ **Partial Account Balance: $ ___ ___ ___, ___ ___ ___. ___ ___**

Please note that it is the responsibility of the Distributing 529 Plan to provide to Merrill Lynch appropriate documentation showing principal and earnings. If the Distributing 529 Plan does not provide such documentation, be advised that Merrill Lynch will treat this entire transfer amount as earnings, which may be taxable upon withdrawal.

4. Instructions to Distributing 529 Plan

To Distributing 529 Plan: This 529 Transfer In Form is authorization to liquidate the above referenced participant's 529 assets (Section 3) and forward the proceeds to the NextGen College Investing Plan, a qualified tuition program under Section 529 of the Internal Revenue Code. Merrill Lynch as Program Manager of the NextGen Plan will accept these assets as a qualifying rollover. If these assets are funded by an UGMA/UTMA or Trust Account, please indicate appropriately on the check. Please provide appropriate documentation concerning the breakdown of the Contribution by principal and earnings for the entire amount of the Contribution.

Make check payable to:	Mail check, along with a statement identifying the breakdown of principal and earnings to:
NextGen College Investing Plan FBO (Designated Beneficiary) Account # (NextGen Account number)	College Plan Services P.O. Box 1518 Pennington, NJ 08534-1518

If you have any questions, please contact a College Plan Services Representative at (877) 463-9843 (touch tone "0").

5. Signature

By signing this 529 Transfer In Form I understand that I am requesting a liquidation and transfer of assets from my Section 529 Plan account with the Distributing 529 Plan (Section 2) and I am requesting that the proceeds be transferred into my NextGen Account. I understand that IRS regulations only permit me to transfer assets between Section 529 Plans for the same Designated Beneficiary once in a 12-month period. I certify that I have not made a transfer from a Section 529 Plan on behalf of this same Designated Beneficiary in the past twelve months. If this transfer is being made to a different Designated Beneficiary, I certify that the Designated Beneficiary on my NextGen Account is an eligible family member of the Designated Beneficiary of my Distributing 529 Plan. I also authorize Merrill Lynch as Program Manager of the NextGen College Investing Plan, to act on my behalf in contacting the Distributing 529 Plan Program Manager to facilitate the transfer of assets.

X____ ________________________

Signature of Participant **Date**

Signature Guarantee – May be Required

Important – The Program Manager for the Distributing 529 Plan may require a signature guarantee. Please contact the Distributing 529 Plan to determine if a signature guarantee is required. You may obtain a signature guarantee from a bank, savings association, credit union, or brokerage firm. A notary public cannot provide a signature guarantee.

X____ ________________________

Signature of Guarantor **Date**

X____

Title / Name of Institution

Merrill Lynch

Program Manager

FAME FINANCE AUTHORITY OF MAINE
Business & Education at Work for Maine

Program Administrator

L-10-08

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